

Confirm. No. 4710  
516745-2001.1**REMARKS**

Reconsideration and withdrawal of the restriction/election of species and rejections of this application and consideration and entry of this paper are respectfully requested in view of the herein remarks, which place the application in condition for allowance.

**I. STATUS OF CLAIMS AND FORMAL MATTERS**

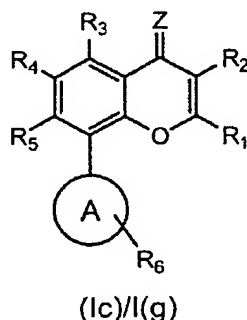
Claims 1-5, 7-22, and 24-26 are now pending in this application. Claims 6 and 23 have been cancelled and new claims 24-26 have been added. The applicants appreciate the Examiner's acknowledgement of allowable subject matter with respect to original claims 4 and 6. The applicants reserve the right to continue prosecution of the originally claimed subject matter in a continuation or divisional application. No new matter has been added.

It is submitted that the claims, herewith and as originally presented, are patentably distinct over the prior art cited in the Office Action, and that these claims were in full compliance with the requirements of 35 U.S.C. § 112. The amendments of the claims, as presented herein, are not made for purposes of patentability within the meaning of 35 U.S.C. §§§§ 101, 102, 103 or 112. Rather, these amendments and additions are made simply for clarification and to round out the scope of protection to which Applicants are entitled.

**II. TRAVERSAL OF RESTRICTION/ELECTION OF SPECIES REQUIREMENT**

1. The applicants traverse the restriction and election of species based on the grounds that the search for the basic core structure of the applicants' claimed compound is not unduly burdensome and that the entire invention can be examined without difficulty.

The structure of formulas (Ic) and (Ig) is reproduced below:

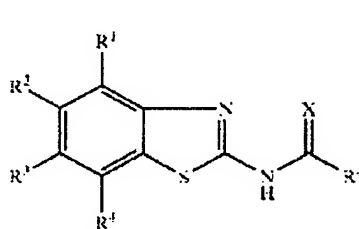


While at first glance the structure appears to be broad, several features simplify the search query. First, the variable Z is fixed to be oxygen or sulfur. Second, A is either a 5- or 6-membered heterocyclic ring which must be substituted with R<sub>6</sub> as defined in claim 1 or 5.

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Based on the search conducted by the Examiner as published on Public PAIR and the references cited in the rejection below, no prior art was ever found which possessed all of these features of the applicants' claimed invention. Therefore, it is irrelevant as to whether additional heterocycles could be formed based on the definitions of the variables of the compound of formula (Ic) or (Ig) because the applicants compounds will always have the core structure of formula (Ic) or (Ig) with Z = O or S and A being a 5- or 6-membered heterocyclic ring which is substituted by R<sup>6</sup> as defined in claims 1-5, i.e. a core structure that yielded no hits during the Examiner's search for prior art.

There are numerous examples of compounds which encompass multiple heterocycles but were allowed because the core structure was novel and unobvious, e.g. see U.S. Patent 6,963,000 which was directed to a compound of formula (Ia):



the definition for R<sup>1</sup> includes numerous heterocyclic and non-heterocyclic moieties:

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R' is phenyl, unsubstituted or substituted by halogen-lower alkyl,  $-\text{C}(\text{O})\text{H}$  or by the following groups

$-(\text{CH}_2)_n-\text{C}(\text{O})-\text{N}(\text{R}^5)-(\text{CH}_2)_m$ -lower alkoxy,  
 $-(\text{CH}_2)_n$ -O-halogen-lower alkyl,  
 $-(\text{CH}_2)_n$ -O $-(\text{CH}_2)_m$ -O-lower alkyl,  
 $-\text{S}(\text{O})_2-\text{N}(\text{R}^5)-(\text{CH}_2)_m$ -O-lower alkyl,  
 $-(\text{CH}_2)_n\text{OR}^5$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m$ -lower alkoxy,  
 $-(\text{CH}_2)_n\text{N}[(\text{CH}_2)_m\text{-lower alkoxy}]_2$ ,  
 $-(\text{CH}_2)_n\text{N}[\text{S}(\text{O})_2\text{CH}_3]_2$ ,  
 $-(\text{CH}_2)_n\text{N}[\text{R}^5][\text{S}(\text{O})_2\text{CH}_3]$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)$ -lower alkenyl,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m$ -cycloalkyl,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-\text{C}(\text{O})\text{O}$ -lower alkyl,  
 $(\text{CH}_2)_n-\text{S}-(\text{CH}_2)_m-\text{N}(\text{R}^5)(\text{R}^6)$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m-\text{S}$ -lower alkyl,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-\text{S}(\text{O})_2\text{CH}_3$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m$ -phenyl,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m\text{CH}(\text{OH})-\text{CF}_3$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m-\text{CF}_3$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m-\text{O}-\text{CH}(\text{OH})-\text{C}_6\text{H}_5$   
 $(\text{OCH}_3)_2$ ,  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)-(\text{CH}_2)_m-\text{O}-\text{C}(\text{O})-\text{C}_6\text{H}_5(\text{OCH}_3)_2$ ,  
 $-\text{N}(\text{R}^5)-\text{C}(\text{O})$ -morpholin,  
 $-\text{N}(\text{R}^5)-\text{C}(\text{O})-\text{N}(\text{R}^5)$ -phenyl, substituted by alkoxy,  
 $-\text{S}(\text{O})_2$ -morpholin,

or is phenyl, which is unsubstituted or substituted by  
 $-(\text{CR}^5\text{R}^6)_n$ - five to seven membered aromatic  
 or non aromatic heterocycle, and wherein the  
 heterocycle is unsubstituted or substituted by  
 hydroxy,  $-\text{N}(\text{R}^5)(\text{R}^6)$  or lower alkyl, or by  
 $-(\text{CH}_2)_n\text{N}(\text{R}^5)(\text{CH}_2)_m$ - five or six membered  
 aromatic or non aromatic heterocycle and wherein  
 the heterocycle is unsubstituted or substituted by  
 hydroxy,  $-\text{N}(\text{R}^5)(\text{R}^6)$  or lower alkyl,  
 or is  $-\text{N}(\text{R}^5)$ -phenyl, which is unsubstituted or  
 substituted by lower alkoxy, or

Moreover, with the cancellation of  $-\text{NR}^9\text{R}^{10}$  possibly forming an additional heterocyclic ring the scope of the compounds is less than the scope of the compounds as originally filed (the applicants reserve the right to further prosecute the scope of the original claims in a continuing application).

With regard to the election of species requirement, while the possibility of additional heterocycles could cause cross-referencing in additional class/subclasses, the primary classification of the invention will be based on the structure represented by the structure of formula (Ic) and formula (Ig).

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Therefore, the applicants claims do not constitute an undue burden on the Office and the restriction/election of species can be withdrawn because:

- (1) the chemical structure search of the applicants' claimed core structure did not yield any hits for prior art which is *prima facie* evidence of the lack of an undue burden; and/or
- (2) compounds with multiple recitations of heterocyclic rings have previously been examined and allowed; and/or
- (3) the present scope of the claims is narrower than that of the originally filed claims.

2. With regard to the restriction of the method of use and the method of making claims from the compound claims, the applicants request that these claims be rejoined upon allowance of the compound claims (see MPEP 821.04 - Rejoinder).

3. With regard to the assertion that certain compounds in claim 11 did not fall under the generic concept, it is noted that the compounds designated as "page 170, the last species" and "page 171, the first species" have been cancelled (These compounds correlate to (+/-)-*trans*-2-(2-Chloro-phenyl)-8-(2-imidazol-1-ylmethyl-1-methyl-pyrrolidin-3-yl)-5,7-dimethoxy-chromen-4-one; (+/-)-*trans*-2-(2-Chloro-phenyl)-5,7-dihydroxy-8-(2-imidazol-1-ylmethyl-1-methyl-pyrrolidin-3-yl)-chromen-4-one, respectively). These compounds represented certain embodiments of the invention where -NR<sub>9</sub>R<sub>10</sub> could form an additional heterocyclic ring. The applicants reserve the right to further pursue these compounds in a continuing application.

The compounds designated as "page 168, the 5<sup>th</sup> and 6<sup>th</sup> species" and "page 169, the 5<sup>th</sup> and 6<sup>th</sup> species" correspond respectively to:

(+)-*trans*-8-(2-Hydroxymethyl-1-methyl-pyrrolidin-3-yl)-5,7-dimethoxy-2-thiophen-2-yl-chromen-4-one;

(+)-*trans*-5,7-Dihydroxy-8-(2-hydroxymethyl-1-methyl-pyrrolidin-3-yl)-2-thiophen-2-yl-chromen-4-one;

(+/-)-*trans*-2-(2-Chloro-pyridin-3-yl)-8-(2-hydroxymethyl-1-methyl-pyrrolidin-3-yl)-5,7-dimethoxy-chromen-4-one;

(+/-)-*trans*-2-(2-Chloro-pyridin-3-yl)-5,7-dihydroxy-8-(2-hydroxymethyl-1-methyl-pyrrolidin-3-yl)-chromen-4-one.

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These compounds have been maintained in claim 11 and represent certain embodiments of the invention wherein  $R_1$  may be a heterocyclic ring which is still encompassed by the pending claims. These compounds should be considered part of the examined invention because the search for these compounds do not represent an undue burden on the Office for the reasons cited in paragraph 1 above.

### **III. OBJECTION TO NONELECTED SUBJECT MATTER HAS BEEN OVERCOME**

Although the applicants do not agree with the assertion of non-elected subject matter, claims 5, 7 and 8 have been amended to remove the alleged non-elected subject matter. With these amendments, claim 6 became redundant and was therefore cancelled. Applicants' reserve the right to further prosecute the scope of the original claims in a continuing application.

### **IV. THE 35 U.S.C. 102/103 REJECTION HAS BEEN OVERCOME**

Claims 1-3 and 12 were rejected as allegedly being anticipated by Gross et al. ("A stereocontrolled approach to substituted piperidones and piperidines: flavopiridol D-ring analogs", *Tetrahedron Letters*, 42: 1631-1633 (2001) - hereinafter "Gross") or Mansuri et al. (U.S. Patent 5,733,920 - hereinafter "Mansuri").

MPEP 2131 illustrates the requirements necessary to establish anticipation:

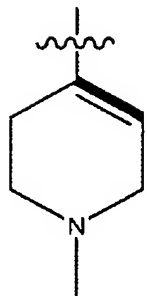
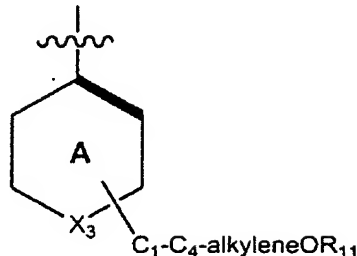
- (1) "A claim is anticipated only if each and every element set forth in the claim is found, either expressly or inherently described, in a single prior art reference." see *Verdegaal Bros. v. Union Oil Co. of California*, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987); and
- (2) "The identical invention must be shown in as complete detail as is contained in the...claim." see *Richardson v. Suzuki Motor Co.*, 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989).

However, neither the Gross or Mansuri references meets either of these requirements.

The rejection as written appears to be directed for the situation where variable "A" in the applicants' claimed invention is a 6-membered ring. However, this 6-membered ring is substituted with  $R_6$  which is defined to be  $-C_1-C_4\text{-alkyleneOR}_{11}$ .

In Gross, the corresponding 6-membered ring is substituted either with  $-CH_3$  or  $-OH$ .

In Mansuri, compound number 3 is not encompassed by the scope of the applicants' claims which can best be illustrated by the figures below:

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of the Mansuri patent6-membered ring definition  
from applicants' claim 1

At a minimum, compound 3 of the Mansuri patent contains -C=C- bond within the 6-membered ring which is not defined in the applicants' claim 1.

For these reasons, neither Gross nor Mansuri teach each and every element of the applicants' claimed invention and therefore the applicants' claims are not anticipated.

### CONCLUSION

In view of the remarks and amendments herewith, the application is believed to be in condition for allowance. Favorable reconsideration of the application and prompt issuance of a Notice of Allowance are earnestly solicited. The undersigned looks forward to hearing favorably from the Examiner at an early date, and, the Examiner is invited to telephonically contact the undersigned to advance prosecution. The Commission is authorized to charge any fee occasioned by this paper, or credit any overpayment of such fees, to Deposit Account No. 50-0320.

Respectfully submitted,  
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